10/13/2006 16:39

Image# 26940416544

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

| | | | | | | | | Office Use Only | |
|-----|--|---|--|----------------------|---------------------------------|----------------------|-------------------|--------------------|---------------|
| 1. | NAME OF COMMITTEE (in full | | FEC MAILING LAE | | ample:If typing er the lines | , type | | | |
| Ш | Action Fund of Lehm | an Brothers Ho | oldings Inc. | 1 1 1 1 | | | | | |
| Ш | | | | | | | | | |
| ADI | DRESS (number and st | reet) 74 | 5 Seventh Avenue | 13th Floor | | | | | |
| | Check if differer than previously reported. (ACC) | ı Ne | ew York | | | | NY | 10019 | 6801 |
| 2. | FEC IDENTIFICATION | ON NUMBER | ~ | CITY 🛦 | | 5 | STATE | ZIPCOI | DE 🛕 |
| | C00127670 | | | 3. IS THIS REPORT | | NEW (N) OR | At (A | MENDED .) | |
| 4. | TYPE OF REPOR (Choose One) (a) Quarterly Repor April 15 Quarterly F Quarterly F October 15 Quarterly F January 31 Quarterly F January 31 Quarterly F July 31 Mic Report(Nor Year Only) Termination (TER) | ts: Report(Q1) Report(Q2) Report(Q3) Report(YE) Report(YE) Report(YE) | (d) 30-Day Post -Elect Report for the | Election on | | 12C) | Sep | in the | Special (30S) |
| | Covering Period ertify that I have examin | ٠. | | | through and belief it is | 0 9 true, correct a | 3 0 and complete. | 2006 | |
| Тур | oe or Print Name of Tre | easurer <u>J</u> | oseph Beukers | | | | | | |
| Sig | nature of Treasurer | Electronically | Filed by Joseph | Beukers | | D | ate 10 | 13 | 2006 |
| NO | TE : Submission of fal | se, erroneous, | or incomplete infor | mation may s | ubject the pers | on signing this | s Report to the | penalties of 2 U.S | S.C 437g. |
| | Office Use Only | | | | | | | FEC FOR | |

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name Action Fund of Lehman Brothers Holdings Inc. [®] D ^UD 0 9 0 1 2006 0.9 3 0 2006 Report Covering the Period: From: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand [°]2006 283465.02 January 1 (b) Cash on Hand at 269754.05 Begining of Reporting Period 9484.45 87613.48 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 279238.50 371078.50 6(a) and 6(c) for Column B) 7150.00 98990.00 7. Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 272088.50 272088.50 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) This Committee has qualified as a multicandidate committee. (see FEC FORM 1M) For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003) Page 3

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period:

м м 0 9

From:

D D D

2006

то.

м м 0 9 ^D 3^D 0

2006

| | I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|-----|--|-------------------------------|-----------------------------------|
| 11. | Contributions (other than loans) From: (a) Individuals/Persons Other | | |
| | Than Political Committees (i) Itemized (use Schedule A) | 8507.29 | 54996.98 |
| | (ii) Unitemized | 741.00 | 30782.25 |
| | (iii) TOTAL (add Lines 11(a)(i) and (ii) | 9248.29 | 85779.23 |
| | (b) Political Party Committees | 0.00 | 0.00 |
| | (c) Other Political Committees(such as PACs)(d) Total Contributions (add Lines | 0.00 | 0.00 |
| | 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) | 9248.29 | 85779.23 |
| 2. | Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 3. | All Loans Received | 0.00 | 0.00 |
| | Loan Repayments Received Offsets To Operating Expenditures | 0.00 | 0.00 |
| | (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made | 0.00 | 0.00 |
| Ο. | to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 7. | Other Federal Receipts (Dividends, Interest, etc.) | 236.16 | 1834.25 |
| 8. | | | |
| | (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| | (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| | (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. | Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 9484.45 | 87613.48 |
| 20. | Total Federal Receipts (subtract Line 18(c) from Line 19) | 9484.45 | 87613.48 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 4

| | II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|----|--|-------------------------------|-----------------------------------|
| 1. | Operating Expenditures: (a) Shared Federal/Non-Federal | | |
| | Activity (from Schedule H4) | 0.00 | 0.00 |
| | (i) Federal Share | 0.00 | 0.00 |
| | (ii) Non-Federal Share | 0.00 | 0.00 |
| | (b) Other Federal Operating | | |
| | Expenditures | 150.00 | 310.00 |
| | (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) | 150.00 | 310.00 |
| | Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| ١. | Contributions to | 0.00 | |
| | Federal Candidates/Committeesand Other Political Committees | 7000.00 | 98680.00 |
| | Independent Expenditure | | |
| ٠. | (use Schedule E) | 0.00 | 0.00 |
| | Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| | Loan Repayments Made | 0.00 | 0.00 |
| | Loans Made | 0.00 | 0.00 |
| | Refunds of Contributions To: | | |
| | (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| | (b) Political Party Committees | 0.00 | 0.00 |
| | (c) Other Political Committees | | |
| | (such as PACs) | 0.00 | 0.00 |
| | (d) Total Contribution Refunds | | |
| | (add Lines 28(a), (b), and (c)) | 0.00 | 0.00 |
| | Other Disbursements | 0.00 | 0.00 |
|). | Federal Election Activity (2 U.S.C 431(20)) | | |
| | (a) Shared Federal Election Activity | | |
| | (from Schedule H6) | 0.00 | 0.00 |
| | (i) Federal Share | 0.00 | 0.00 |
| | (ii) "Levin" Share | 0.00 | 0.00 |
| | (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| | (c) Total Federal Election Activity (add | 0.00 | 0.00 |
| | Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 |
| | Total Disbursements (add Lines 21(c), 22, | | |
| | 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 7150.00 | 98990.00 |
| | Total Federal Disbursements | | |
| | (subtract Line 21(a)(ii) from Line 30(a)(ii) | 7450.00 | 22222 |
| | from Line 31) | 7150.00 | 98990.00 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5 III. Net Contributions/Operating **COLUMN B COLUMN A Expenditures Total This Period** Calendar Year-to-Date 33. Total Contributions (other than loans) 9248.29 85779.23 from Line 11(d), page 3) 34. Total Contribution Refunds 0.00 0.00 (from Line 28(d)) 35. Net Contributions (other than loans) 9248.29 85779.23 (subtract Line 34 from Line 33) 36. Total Federal Operating Expenditures 150.00 310.00 (add Line 21(a)(i) and Line 21(b))....... 37. Offsets to Operating Expenditures 0.00 0.00 (from Line 15, page 3) 38. Net Operating Expenditures 150.00 310.00 (subtract Line 37 from Line 36)

| SCHEDULE A (FEC Form 3X) | | | Use separate schedule(s) | FOR LINE NUMBER: PAGE 6 / 90 |
|--------------------------|--|------------------------------|---|--|
| ITEMIZED RECEIPTS | | | or each category of the | (check only one) |
| TI LIWIZED RECEIF 13 | | | Detailed Summary Page | X 11a 11b 11c 12 |
| _ | | | | 13 14 15 16 17 |
| Ar or | ny information copied from such Reports and Stator commercial purposes, other than using the r | atements may name and add | not be sold or used by any perso dress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| | NAME OF COMMITTEE (In Full) | | | |
| | Action Fund of Lehman Brothers Holdin | igs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Stanley Abrams | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | Ε | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385653 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 60.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General | 1 1 | E40.00 | |
| | Other (specify) 🔻 | 0 0 | 540.00 | |
| В. | Full Name (Last, First, Middle Initial) Erik R Addington | | | Date of Receipt |
| | Mailing Address 246 Midwood St. | | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385770 |
| | Brooklyn | NY | 10285 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | С | | 32.50 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 0 0 | 292.50 | |
| <u> </u> | Full Name (Last, First, Middle Initial) Rodolpho Amboss | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2006-1385702 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 270.00 | |
| | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | ······ | 107.50 |
| 1 | | | | |

| SCHEDULE A (FEC Form 3X) | | | Llas assausta askadula(a) | FOR LINE NUMBER: PAGE 7/90 | | |
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| | EMIZED RECEIPTS | | Use separate schedule(s) or each category of the | (check only one) | | |
| 11 | EMIZED RECEIP 15 | | Detailed Summary Page | X 11a 11b 11c 12 | | |
| | | | | 13 14 15 16 17 | | |
| Ar | y information copied from such Reports and State for commercial purposes, other than using the r | tements may | not be sold or used by any person | on for the purpose of soliciting contributions | | |
| or | | ame and add | ress or any political committee to | o solicit contributions from such committee. | | |
| | NAME OF COMMITTEE (In Full) | | | | | |
| / | Action Fund of Lehman Brothers Holdin | gs Inc. | | | | |
| <u>/</u> | Full Name (Last, First, Middle Initial) | | | | | |
| A. | | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | ≣ | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388700 | | |
| | NEW YORK | NY | 10019 | | | |
| | | INI | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 15.00 | | |
| | | | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | | | |
| | Primary General | Aggregate | Teal to Bate V | 1 | | |
| | Other (specify) ▼ | | 285.00 | | | |
| | | | | d . | | |
| Б | Full Name (Last, First, Middle Initial) | | | Date of Bassist | | |
| В. | Kaushik Amin Mailing Address 745 SEVENTH AVENU | <u> </u> | | Date of Receipt | | |
| | Walling Address 743 SEVENTTI AVENU | = | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385785 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | С | | 50.00 | | |
| | federal political committee. | | | 56.55 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | | | |
| | INC. | VICE PR | ESIDENT | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 900.00 | 1 | | |
| | Other (specify) | 0 0 | 000.00 | | | |
| _ | Full Name (Last, First, Middle Initial) | | | | | |
| C. | Kaushik Amin | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | 09 22 2006 | | | | |
| | City | State | Zip Code | Transaction ID: A2006-1388776 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | | | | | |
| | federal political committee. | C | | 50.00 | | |
| | Name of Employer | Occupation | <u> </u> | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | | ESIDENT | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | | | |
| | Primary General | | | 1 | | |
| | Other (specify) ▼ | | 950.00 | | | |
| _ | | | | | | |
| | | | | 115.00 | | |
| S | UBTOTAL of Receipts This Page (optional) | <u> </u> | ······································ | 115.00 | | |
| | <u> </u> | | | | | |

| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) X 11a 11b 11b 11d 11d 11d 11d 11d 11d 11d 11d | PAGE 8/90 11c | |
|---|---|-----------------------------|--|--|-------------------------------------|--|
| An or | ly information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any persodress of any political committee to | on for the purpose of solic solicit contributions from | iting contributions such committee. | |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | | | |
| Α. | Full Name (Last, First, Middle Initial) James k Asselstine Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. | State NY | Zip Code 10019 | Date of Receipt M M M / D D D 0 8 Transaction ID: A Amount of Each Re | 2 0 0 6 2006-1385768 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | on VICE PRESIDENT 9 Year-to-Date ▼ | | | |
| 3. | Full Name (Last, First, Middle Initial) James k Asselstine Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
| | City State Zip Code NEW YORK NY 10019 | | | Transaction ID: A Amount of Each Re | | |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each N | 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | on VICE PRESIDENT 2 Year-to-Date ▼ 950.00 | | | |
| Full Name (Last, First, Middle Initial) David B Bath Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt | / Y Y Y Y | | |
| | | | 7:n Codo | 09 08 | 2006 | |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A Amount of Each Re | | |
| | FEC ID number of contributing federal political committee. | C | | | 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | Occupation EXECUT Aggregate | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | | 150.00 | |
| T | OTAL This Period (last page this line number on | v) | | | | |

| SCHEDULE A (FEC Form 3X) | | | Use separate schedule(s) | FOR LINE NUMBER: PAGE 9 / 90 | | |
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| Any inform | nation copied from such Reports and Statement of the nation copied from such Reports and Statement of the nation copied from such as the nation copied from | tements may ame and add | not be sold or used by any perso lress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. | | |
| NAME | OF COMMITTEE (In Full) | | | | | |
| Actio | n Fund of Lehman Brothers Holding | gs Inc. | | | | |
| Full Na A. David I | ame (Last, First, Middle Initial) B Bath | Date of Receipt | | | | |
| Mailing | g Address 745 SEVENTH AVENUE | | | 09 / 22 / 4 9 9 | | |
| City | | State | Zip Code | Transaction ID: A2006-1388677 | | |
| <u>NEW</u> | YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | O number of contributing political committee. | С | | 50.00 | | |
| INC. | of Employer AN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| Receip | | Aggregate | Year-to-Date ▼ | | | |
| | Primary General Other (specify) ▼ | | 950.00 | | | |
| _ | ame (Last, First, Middle Initial) el E Belk | | | Date of Receipt | | |
| Mailing ——— | g Address 745 SEVENTH AVENUE | 09 / 08 / 4 2006 | | | | |
| City | | State | Zip Code | Transaction ID: A2006-1385761 | | |
| | YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC II federal | O number of contributing I political committee. | C | | 60.00 | | |
| Name LEHM INC. | of Employer AN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| Receip | | Aggregate | Year-to-Date ▼ | | | |
| | Primary ☐ General Other (specify) ▼ | | 540.00 | | | |
| _ | ame (Last, First, Middle Initial) is E Bernard | | | Date of Receipt | | |
| Mailing | Address 745 SEVENTH AVENUE | | | 09 / 08 / 2006 | | |
| City | | State | Zip Code | Transaction ID: A2006-1385766 | | |
| | YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | O number of contributing I political committee. | C | | 50.00 | | |
| Name LEHM INC. | of Employer AN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| Receip | | Aggregate | Year-to-Date ▼ | | | |
| | Primary ☐ General Other (specify) ▼ | | 900.00 | | | |
| SUBTOT | AL of Receipts This Page (optional) | | | 160.00 | | |
| • | | | | | | |

| SCHEDULE A (FEC Form 3X) | | | 11 | FOR LINE NUMBER: PAGE 10 / 90 | | |
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| | | | Use separate schedule(s) or each category of the | (check only one) | | |
| П | EMIZED RECEIPTS | | Detailed Summary Page | X 11a 11b 11c 12 | | |
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| Ar | y information copied from such Reports and Sta | atements may | not be sold or used by any person | on for the purpose of soliciting contributions | | |
| or | for commercial purposes, other than using the r | name and add | dress of any political committee to | o solicit contributions from such committee. | | |
| | NAME OF COMMITTEE (In Full) | | | | | |
| | Action Fund of Lehman Brothers Holdin | gs Inc. | | | | |
| <u></u> | Full Name (Last, First, Middle Initial) | | | | | |
| A. | Thomas E Bernard | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUI | Ξ | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388758 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | | | 10010 | | | |
| | FEC ID number of contributing federal political committee. | C | | 50.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | | | |
| | Primary General | 199.195 | | 1 | | |
| | Other (specify) ▼ | 1 | 950.00 | | | |
| | | | | | | |
| В. | Full Name (Last, First, Middle Initial) David P Bolduc | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | Ξ. | | 09 / 08 / 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385762 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | | | | | |
| | federal political committee. | C | | 15.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | | | |
| | INC. | EXECUT | IVE | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 270.00 | 1 | | |
| | Other (specify) | 1 | 27 0.00 | | | |
| <u> </u> | Full Name (Last, First, Middle Initial) David P Bolduc | | | Date of Receipt | | |
| ٥. | Mailing Address 745 SEVENTH AVENU | M M / D D / Y Y Y Y | | | | |
| | 745 SEVENTITAVENO | 09 22 2006 | | | | |
| | City | State | Zip Code | Transaction ID: A2006-1388754 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | C | | 15.00 | | |
| | federal political committee. | | | _ | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | - | | |
| | Primary General | 1.55.05410 | | 1 | | |
| | Other (specify) ▼ | 1 | 285.00 | | | |
| _ | | | | d | | |
| | | | | 20.00 | | |
| s | UBTOTAL of Receipts This Page (optional) | | | 80.00 | | |
| \vdash | | | | | | |

| SCHEDULE A (FEC Form 3X) | | Use separate schedule(s) | FOR LINE NUMBER: PAGE 11/90 | | |
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| TEMIZED RECEIPTS | | or each category of the | (check only one) X 11a 11b 11c 12 | | |
| · · · · · · · · · · · · · · · · · · · | | Detailed Summary Page | X 11a 11b 11c 12 15 16 17 | | |
| Any information copied from such Reports and or for commercial purposes, other than using the | Statements may e name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. | | |
| NAME OF COMMITTEE (In Full) | | | | | |
| Action Fund of Lehman Brothers Hold | dings Inc. | | | | |
| Full Name (Last, First, Middle Initial) A. Bruce D Brier | | | Date of Receipt | | |
| Mailing Address 745 SEVENTH AVEN | UE | | 09 08 2006 | | |
| City | State | Zip Code | Transaction ID: A2006-1385694 | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC ID number of contributing federal political committee. | C | | 30.00 | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation FIRST V | n ICE PRESIDENT | | | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| Primary General Other (specify) ▼ | | 540.00 | | | |
| Full Name (Last, First, Middle Initial) 3. Bruce D Brier | | | Date of Receipt | | |
| Mailing Address 745 SEVENTH AVEN | | | | | |
| City | State | Zip Code | Transaction ID: A2006-1388692 | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC ID number of contributing federal political committee. | C | | 30.00 | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation FIRST V | n ICE PRESIDENT | | | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| Primary General Other (specify) ▼ | | 570.00 | | | |
| Full Name (Last, First, Middle Initial) C. Shaun k Butler | 1 | | Date of Receipt | | |
| Mailing Address 745 SEVENTH AVEN | IUE | | 09 / 08 / 2006 | | |
| City | State | Zip Code | Transaction ID: A2006-1385633 | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC ID number of contributing federal political committee. | C | | 40.00 | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | | | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| Primary General Other (specify) ▼ | | 720.00 | | | |
| SUBTOTAL of Receipts This Page (optional) . | | | 100.00 | | |
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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | | |
| <u>/</u> З. | Full Name (Last, First, Middle Initial) Shaun k Butler Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) City Name (Last, First, Middle Initial) Lance F Bylon Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. | Name (Last, First, Middle Initial) In k Butler Ing Address 745 SEVENTH AVENUE State Zip Code NY 10019 ID number of contributing ral political committee. Pe of Employer MAN BROTHERS HOLDINGS Sipt For: Primary General Other (specify) ▼ Name (Last, First, Middle Initial) State Zip Code Aggregate Year-to-Date ▼ 760.00 Name (Last, First, Middle Initial) State Zip Code NY 10019 NY ORK ID number of contributing | | Date of Receipt M | |
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| or | for commercial purposes, other than using the na | ame and add | ress of any political committee to | solicit contributions from such committee. | | |
| $\overline{\ }$ | NAME OF COMMITTEE (In Full) | | | | | |
| \rangle | Action Fund of Lehman Brothers Holding | js Inc. | | | | |
| ۹. | Full Name (Last, First, Middle Initial) Robert s. Caldwell | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385690 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 15.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | | VICE PRESIDENT Year-to-Date ▼ 270.00 | | | |
| 3. | Full Name (Last, First, Middle Initial) Robert s. Caldwell | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | |
| | City State Zip Code | | | Transaction ID: A2006-1388688 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 15.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | VICE PRESIDENT | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | - | | |
| | Primary General Other (specify) ▼ | 33 13 | 285.00 | | | |
|). | Full Name (Last, First, Middle Initial) Brian Case | | | Date of Receipt | | |
| | Mailing Address 120 E 75th St. Apt 8A | | | 09 / 08 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
| | City | State | Zip Code | Transaction ID: A2006-1385765 | | |
| | New York | NY | 10021 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 15.00 | | |
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| NAME OF COMMITTEE (In Full) | | | | | |
| Action Fund of Lehman Brothers Holdi | ngs Inc. | | | | |
| Full Name (Last, First, Middle Initial) A. Brian Case | | | Date of Receipt | | |
| Mailing Address 120 E 75th St. Apt 8A | | | 09 22 2006 | | |
| City | State | Zip Code | Transaction ID: A2006-1388757 | | |
| New York | NY | 10021 | Amount of Each Receipt this Period | | |
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| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | | | | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| Primary General Other (specify) ▼ | 0 0 | 285.00 | | | |
| Full Name (Last, First, Middle Initial) John f Coghlan | | | Date of Receipt | | |
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| City | State | Zip Code | Transaction ID: A2006-1385777 | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC ID number of contributing federal political committee. | C | | 50.00 | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | | | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| Primary General Other (specify) ▼ | | 900.00 | | | |
| Full Name (Last, First, Middle Initial) John f Coghlan | | | Date of Receipt | | |
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| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | | | |
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| | NAME OF COMMITTEE (In Full) | | | | | |
| \rangle | Action Fund of Lehman Brothers Holdings In | nc. | | | | |
| | Full Name (Last, First, Middle Initial) | | | | | |
| ۹. | Christopher R Conetta | | | Date of R | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 0 8 | 2006 |
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| _ | Full Name (Last, First, Middle Initial) | | | | | |
| 3. | Christopher R Conetta | | | Date of R | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 22 | 2006 |
| | City St | State | Zip Code | | | 006-1388728 |
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| ` | Full Name (Last, First, Middle Initial) | | | Date of R | acoint | |
| J. | Mark M Connally Mailing Address 745 SEVENTH AVENUE | | | M M M | eceipt | / Y Y Y Y Y |
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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | ıs Inc. | | | |
| ۹. | Full Name (Last, First, Middle Initial) Mark M Connally Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | IVE | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 475.00 |] | |
| 3. | Full Name (Last, First, Middle Initial) Thomas p Corcoran | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 360.00 |] | |
| D. | Full Name (Last, First, Middle Initial) Thomas p Corcoran | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | |
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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | gs Inc. | | | |
| ۸. | Full Name (Last, First, Middle Initial) Robert C Cox Mailing Address 745 SEVENTH AVENUE | : | | Date of Receipt | / Y • Y • Y • Y |
| | City | State | Zip Code | 0 9 0 8 Transaction ID: A2 | 2 0 0 6 006-1385675 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 900.00 | | |
| 3. | Full Name (Last, First, Middle Initial) Robert C Cox | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 22 | 2006 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 950.00 |] | |
|) . | Full Name (Last, First, Middle Initial) Allen b Cutler | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 08 | 2006 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | |
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| \rangle | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Allen b Cutler | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 22 / 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388652 |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | VICE PRESIDENT Year-to-Date ▼ 950.00 | |
| 3. | Full Name (Last, First, Middle Initial) Thomas De gaglia Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | VICE PRESIDENT | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 360.00 | |
|). | Full Name (Last, First, Middle Initial) Thomas De gaglia | | | Date of Receipt |
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| | NAME OF COMMITTEE (In Full) | | | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holding | gs Inc. | | | |
| | Full Names (Last First Middle Initial) | | | | |
| A. | Full Name (Last, First, Middle Initial) Edward b Donohue | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / D D | |
| | | | | 09 08 | 2006 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | NG DIRECTOR | | |
| | INC. Receipt For: | 1 | Year-to-Date ▼ | | |
| | Primary General | | | 1 | |
| | Other (specify) ▼ | 0 0 | 360.00 | | |
| — В. | Full Name (Last, First, Middle Initial) John C Donohue | | | Date of Receipt | |
| ٥. | Mailing Address 745 SEVENTH AVENUE | = | | M M / D D | / Y 'Y 'Y 'Y |
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| | Primary General | | 540.00 | 1 | |
| | Other (specify) | | 340.00 | | |
| C. | Full Name (Last, First, Middle Initial) Edward b Donohue | | | Date of Receipt | |
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SCHEDULE A (FEC Form 3X)

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| \vdash | NAME OF COMMITTEE (In Full) | | ·· | | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holdin | gs Inc. | | | | |
| Α. | Full Name (Last, First, Middle Initial) John C Donohue | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | <u> </u> | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | | |
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| В. | Full Name (Last, First, Middle Initial) | | | Date of Deceipt | | |
| Ь. | Joshua Edelson Mailing Address 745 SEVENTH AVE 137 | TH FLOOR | | Date of Receipt | | |
| | Walling Address 745 SEVENTITAVE 151 | IIII LOON | | 09 08 2006 | | |
| | City State Zip Code | | | Transaction ID: A2006-1385793 | | |
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| | FEC ID number of contributing federal political committee. | C | | 50.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
| | INC. | Executive | | | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | | | |
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| C. | Joshua Edelson | | | Date of Receipt | | |
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SCHEDULE A (FEC Form 3X)

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| $\overline{\ }$ | NAME OF COMMITTEE (In Full) | | | | | |
| \rangle | Action Fund of Lehman Brothers Holdings | s Inc. | | | | |
| ۹. | Full Name (Last, First, Middle Initial) Jay S Elkins | | | Date of Re | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 0 8 | 2006 |
| | City | State | Zip Code | Transaction | on ID: A2 | 2006-1385750 |
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| | Full Name (Last, First, Middle Initial) | | | - | | |
| 3. | Jay S Elkins | | | Date of R | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 22 | 2006 |
| | City State Zip Code | | | Transaction | on ID: A2 | 2006-1388744 |
| | NEW YORK | NY | 10019 | Amount or | f Each Re | ceipt this Period |
| | FEC ID number of contributing federal political committee. | С | | | | 20.00 |
| | LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| | 1110. | | Year-to-Date ▼ | | | |
| | Primary General | | 000.00 | 1 | | |
| | Other (specify) ▼ | 0 0 | 380.00 | | | |
| Э. | Full Name (Last, First, Middle Initial) Herbert Ernst | | | Date of R | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 | 080 | 2006 |
| | City | State | Zip Code | Transaction | on ID: A2 | 006-1385740 |
| | NEW YORK | NY | 10019 | Amount of | f Each Re | ceipt this Period |
| | FEC ID number of contributing federal political committee. | С | | | | 50.00 |
| LEHMAN BROTHERS HOLDINGS INC. SENI | | Occupation SENIOR | 1 VICE PRESIDENT | | | |
| | | | Year-to-Date ▼ | | | |
| | Primary General | 1 1 | 000 00 | 1 | | |
| | Other (specify) ▼ | 0 0 | 900.00 | | | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 22 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso dress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Herbert Ernst | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 7 2006 |
| | City | State NY | Zip Code | Transaction ID: A2006-1388734 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | VICE PRESIDENT Year-to-Date ▼ 950.00 | |
| 3. | Full Name (Last, First, Middle Initial) Norman L Escoffery Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2006-1385709 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | IVE | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 360.00 | |
| <u> </u> | Full Name (Last, First, Middle Initial) Norman L Escoffery | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388707 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 1 1 1 1 1 | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | IVE | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 380.00 | |
| s | UBTOTAL of Receipts This Page (optional) | | | 90.00 |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 23 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any persolress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385749 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 100.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation VICE PRI Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE | Chata | 7in Oods | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y |
| | NEW YORK FEC ID number of contributing federal political committee. | State NY | Zip Code 10019 | Transaction ID: A2006-1388743 Amount of Each Receipt this Period 100.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation VICE PRI Aggregate | | |
|) . | Full Name (Last, First, Middle Initial) Victor A Forte Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt |
| | City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | State NY C C Occupation VICE PRI | | Transaction ID: A2006-1385706 Amount of Each Receipt this Period 25.00 |
| s | UBTOTAL of Receipts This Page (optional) | | _ | 225.00 |
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| Ar or | ny information copied from such Reports and Stat for commercial purposes, other than using the na | ements may ame and add | not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. | | | |
| \setminus | NAME OF COMMITTEE (In Full) | | | | | | |
| | Action Fund of Lehman Brothers Holding | js Inc. | | | | | |
| Α. | Full Name (Last, First, Middle Initial) Victor A Forte | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 7 2006 | | | |
| | City | State | Zip Code | Transaction ID: A2006-1388704 | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing federal political committee. | С | | 25.00 | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation VICE PR | n ESIDENT | | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | | | |
| | Primary General | | 475.00 | 1 | | | |
| | Other (specify) ▼ | | 475.00 | | | | |
| В. | Full Name (Last, First, Middle Initial) Gerard j Fox | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENUE | JE | | 09 08 7 2006 | | | |
| | City State Zip Code | | | Transaction ID: A2006-1385727 | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing federal political committee. | С | | 15.00 | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation FIRST V | n ICE PRESIDENT | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | | |
| | Primary General | | 070.00 | 1 | | | |
| | Other (specify) | | 270.00 | | | | |
| <u>с.</u> | Full Name (Last, First, Middle Initial) Gerard j Fox | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 YYYY 2006 | | | |
| | City | State | Zip Code | Transaction ID: A2006-1388723 | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing federal political committee. | C | | 15.00 | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | n ICE PRESIDENT | | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | | | |
| | Primary General | | 005.00 | 1 | | | |
| | Other (specify) | 0 0 | 285.00 | | | | |
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| An | y information copied from such Reports and Stat for commercial purposes, other than using the na | ements may | not be sold or used by any perso | | | |
| or | | me and add | dress of any political committee to | solicit contribution | ons from su | ch committee. |
| | NAME OF COMMITTEE (In Full) | | | | | |
| / | Action Fund of Lehman Brothers Holding | js Inc. | | | | |
| Δ. | Full Name (Last, First, Middle Initial) Frederick Frank | | | Date of Re | eceint | |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / | D D / | YYYY |
| | | | | 0 9 | 8 0 | 2006 |
| | City | State | Zip Code | | | 06-1385657 |
| | NEW YORK | NY | 10019 | Amount of | Each Rece | ipt this Period |
| | FEC ID number of contributing federal political committee. | C | | | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | n NG DIRECTOR | | | |
| | Receipt For: | | e Year-to-Date ▼ | | | |
| | Primary General | | 900.00 | | | |
| | Other (specify) ▼ | 0 0 | 300.00 | | | |
| 3. | Full Name (Last, First, Middle Initial) Frederick Frank | | | Date of Re | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / | 22 | 2006 |
| | City | State | Zip Code | | | 06-1388658 |
| | NEW YORK | NY | 10019 | | | ipt this Period |
| | FEC ID number of contributing | | | | | |
| | federal political committee. | C | | | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n | | | |
| | INC. | MANAGI | NG DIRECTOR | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| | Primary General Other (specify) ▼ | ' ' | 950.00 | | | |
| | | 0 0 | | | | |
| Э. | Full Name (Last, First, Middle Initial) BILL GALLAGHER | | | Date of Re | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / | 08 | 2006 |
| | City | State | Zip Code | | | 06-1385683 |
| | NEW YORK | NY | 10019 | | | ipt this Period |
| | FEC ID number of contributing | С | | | | 15.00 |
| | federal political committee. | C | | | | 10.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | | | |
| | Primary General | 00 0 | | 1 | | |
| | Other (specify) | 0 0 | 270.00 | | | |
| | IDTOTAL of Descripto This Day (1911) | | | | | 115.00 |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 26 / 90 (check only one) X 11a 11b 11c 12 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may ime and add | not be sold or used by any persolress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | | · · · · · · · · · · · · · · · · · · · | |
| ۹. | Full Name (Last, First, Middle Initial) BILL GALLAGHER Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt |
| | City NEW YORK | State NY | Zip Code 10019 | 0 9 2 2 2 0 0 6 Transaction ID: A2006-1388681 |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation EXECUT Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Michael Gelband Mailing Address 745 SEVENTH AVENUE | 0 | | Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | NEW YORK FEC ID number of contributing federal political committee. | State NY | Zip Code 10019 | Transaction ID: A2006-1385673 Amount of Each Receipt this Period 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | NG DIRECTOR Year-to-Date ▼ 900.00 | |
| Full Name (Last, First, Middle Initial) Michael Gelband Mailing Address 745 SEVENTH AVENUE | | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | State NY C Occupation MANAGII | Zip Code 10019 NG DIRECTOR Year-to-Date ▼ | 0 9 2 2 2 0 0 6 Transaction ID: A2006-1388671 Amount of Each Receipt this Period 50.00 |
| s | UBTOTAL of Receipts This Page (optional) | | _ | 115.00 |
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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | gs Inc. | | | | | |
| ^ A . | Full Name (Last, First, Middle Initial) David Goldfarb Mailing Address 745 SEVENTH AVENUE | : | | Date of Receipt | / Y Y Y Y Y | | |
| | City | State | Zip Code | 0 9 0 8 Transaction ID: A2 | 2006 | | |
| | NEW YORK | NY | 10019 | Amount of Each Red | | | |
| | FEC ID number of contributing federal political committee. | C | | | 30.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 540.00 | | | | |
| 3. | Full Name (Last, First, Middle Initial) David Goldfarb | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENUE | 09 / 22 | 2006 | | | | |
| | City | State | Zip Code | Transaction ID: A2 | | | |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Rec | 30.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 570.00 |] | | | |
|) . | Full Name (Last, First, Middle Initial) Lynn Z Gray | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 | 2006 | | |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2 Amount of Each Rec | | | |
| | FEC ID number of contributing federal political committee. | C | | Table 1 | 30.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | IVE | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 540.00 | | | | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 28 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso dress of any political committee to | n for the purpose of soliciting contributions |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Lynn Z Gray | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388648 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 30.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUTI Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Ernest G Green Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385779 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 10010 | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGIN | n NG DIRECTOR | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 900.00 | |
| - C. | Full Name (Last, First, Middle Initial) Ernest G Green | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | 09 / 22 / 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388770 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 10010 | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: | | n NG DIRECTOR Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 0 0 | 950.00 | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 29 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso ress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Bernard J Griffin | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 08 / 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385745 |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | CE PRESIDENT Year-to-Date ▼ 270.00 | |
| 3. | Full Name (Last, First, Middle Initial) Bernard J Griffin Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388739 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 10010 | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | CE PRESIDENT | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 285.00 | |
|). | Full Name (Last, First, Middle Initial) Matthew F Grinnell | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 0 8 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385634 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | | | | 60.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUTI | IVE | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 540.00 | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 30 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| Full Name (Last, First, Middle Initial) A. Michael P Guarnieri Mailing Address 745 SEVENTH AVENUE | | | | Date of Receipt |
| | City NEW YORK | State NY | Zip Code 10019 | 0 9 0 8 2 0 0 6 Transaction ID: A2006-1385645 |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation VICE PRI Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Michael P Guarnieri Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt 0 9 2 2 2 0 0 6 |
| | City NEW YORK FEC ID number of contributing federal political committee. | State NY | Zip Code 10019 | Transaction ID: A2006-1388649 Amount of Each Receipt this Period 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation VICE PRI Aggregate | | |
|) . | Full Name (Last, First, Middle Initial) Todd C Guenther Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt |
| | City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | State NY C C Occupation EXECUT | | Transaction ID: A2006-1385771 Amount of Each Receipt this Period 60.00 |
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| Any information copied from such Reports and Sta or for commercial purposes, other than using the r | atements may | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions | | | | | |
| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdin | | | | | | | | |
| Full Name (Last, First, Middle Initial) Todd C Guenther Mailing Address 745 SEVENTH AVENU | E | | Date of Receipt 0 9 2 2 2 0 0 6 | | | | | |
| City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388762 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 60.00 | | | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUT Aggregate | | | | | | | |
| Full Name (Last, First, Middle Initial) Robert Guglielmo Mailing Address 745 SEVENTH AVENU | E | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | |
| City | State | Zip Code | Transaction ID: A2006-1385773 | | | | | |
| NEW YORK FEC ID number of contributing federal political committee. | NY C | 10019 | Amount of Each Receipt this Period 20.00 | | | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | n VICE PRESIDENT e Year-to-Date ▼ 360.00 | | | | | | |
| Full Name (Last, First, Middle Initial) Robert Guglielmo Mailing Address 745 SEVENTH AVENU | E | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | |
| City | State | Zip Code | Transaction ID: A2006-1388764 | | | | | |
| NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 20.00 | | | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | | vice President e Year-to-Date ▼ 380.00 | | | | | | |
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| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdin | | | | | | | | |
| Full Name (Last, First, Middle Initial) Giles E Harrison Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS | State NY C | Zip Code 10019 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | |
| INC. Receipt For: Primary General Other (specify) | Aggregate Aggregate | Year-to-Date ▼ 360.00 | | | | | | |
| Full Name (Last, First, Middle Initial) Giles E Harrison Mailing Address 745 SEVENTH AVENUI | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | | |
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| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUT Aggregate | | | | | | | |
| Full Name (Last, First, Middle Initial) Mike A Hartmeier Mailing Address 745 SEVENTH AVENUI | E | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | |
| City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385651 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | 100.0 | 100.00 | | | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation EXECUT Aggregate | | | | | | | |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | ıs Inc. | | | |
| A . | Full Name (Last, First, Middle Initial) Mike A Hartmeier Mailing Address 745 SEVENTH AVENUE City S NEW YORK N FEC ID number of contributing federal political committee. Calcal Contribution of Contribution federal Political Committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | Zip Code 10019 IVE 2 Year-to-Date ▼ 1900.00 Zip Code 10019 | Date of Receipt M | |
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| Э. | Full Name (Last, First, Middle Initial) Steven r Hash Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M O 9 | |
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| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | | n ESIDENT e Year-to-Date ▼ 950.00 | | |
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| | NAME OF COMMITTEE (In Full) | | | |
| | Action Fund of Lehman Brothers Holdin | gs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Thomas a Hawkins | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | <u></u> | | M M / D D / Y Y Y Y Y O O O O O O O O O O O O O O |
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| | FEC ID number of contributing federal political committee. | C | | 25.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation VICE PR | n ESIDENT | |
| | INC. Receipt For: | | Year-to-Date ▼ | |
| | Primary General | 00 0 | | 1 |
| | Other (specify) ▼ | 1 | 450.00 | |
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| В. | Full Name (Last, First, Middle Initial) Thomas a Hawkins | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | M M / D D / Y Y Y Y | | |
| | City | 09 22 2006 | | |
| | City NEW YORK | State NY | Zip Code | Transaction ID: A2006-1388736 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | |
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| | Primary General | Aggregate | rear-to-Date V | 1 |
| | Other (specify) | | 475.00 | |
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| C. | Full Name (Last, First, Middle Initial) Peter J Hernick | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385744 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
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| | Other (specify) | | 540.00 | |
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SCHEDULE A (FEC Form 3X)

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| \setminus | NAME OF COMMITTEE (In Full) | | | |
| | Action Fund of Lehman Brothers Holdin | ngs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Peter J Hernick | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 / 22 / 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388738 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
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| | Primary General | | 570.00 | 1 |
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| В. | Full Name (Last, First, Middle Initial) Perry C Hoffmeist | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 08 7 2006 |
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| | Receipt For: | Aggregate Year-to-Date ▼ 974.97 | | |
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| C. | Full Name (Last, First, Middle Initial) Robert J Hoy | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 / 08 / 4 2006 |
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| / | NAME OF COMMITTEE (In Full) | | | | |
| / | Action Fund of Lehman Brothers Holding | s Inc. | | | |
| | Full Name (Last, First, Middle Initial) | | | | |
| ١. | Robert A Hynote | | | Date of Rec | <u>'</u> |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 08 2006 |
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| | federal political committee. | 9 | | | 33.33 |
| | | Occupation | | 7 | |
| | INC. | EXECUT | | _ | |
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| | Other (specify) | | 900.00 | | |
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| 3. | Full Name (Last, First, Middle Initial) Robert A Hynote | | | Date of Rec | |
| ٠. | Mailing Address 745 SEVENTH AVENUE | | | M M / | eipt |
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| | LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | - | |
| | Primary General | . 1991 09410 | | | |
| | Other (specify) | | 950.00 | | |
| | E IIII (III E III | | | | |
| Э. | Full Name (Last, First, Middle Initial) Mr. Ben James | | | Date of Rec | eipt |
| | Mailing Address 745 SEVENTH AVE 13TH | H FLOOR | | M M / | D D / Y Y Y Y |
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| | federal political committee. | C | | | 50.00 |
| | Name of Employer | Occupation | 1 | - | |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Mr. Ben James | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVE 13Th | H FLOOR | | 09 22 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388780 |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Theodore Janulis Mailing Address 745 SEVENTH AVENUE | | Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385672 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 40.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGIN | NG DIRECTOR | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 720.00 | |
| C. | Full Name (Last, First, Middle Initial) Theodore Janulis | | | Date of Receipt |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | NG DIRECTOR | |
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| | NAME OF COMMITTEE (In Full) | | | |
| \rangle | Action Fund of Lehman Brothers Holdin | gs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Craig L Jones | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385695 |
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| — В. | Full Name (Last, First, Middle Initial) Craig L Jones | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | 09 / 22 / 2006 | | |
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| C. | | | | Date of Receipt |
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| | NAME OF COMMITTEE (In Full) | | | | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holdin | nas Inc. | | | | |
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| _ | Full Name (Last, First, Middle Initial) | | | | | |
| A. | Jeanne m Kane | | | Date of Receipt | | |
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| | FEC ID number of contributing | С | | 40.00 | | |
| | federal political committee. | | | 40.00 | | |
| | Name of Employer | Occupation | 2 | \dashv | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | | NG DIRECTOR | | | |
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| | Primary General | riggregate | real to Bate V | 7 | | |
| | Other (specify) ▼ | | 720.00 | | | |
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| _ | Full Name (Last, First, Middle Initial) | | | | | |
| В. | Jeanne m Kane | | | Date of Receipt | | |
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| | federal political committee. | <u> </u> | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | 7 | | |
| | LEHMAN BROTHERS HOLDINGS INC. | MANAGI | NG DIRECTOR | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | 7 | | |
| | Primary General | - | 700.00 | 1 | | |
| | Other (specify) ▼ | | 760.00 | | | |
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| C | Full Name (Last, First, Middle Initial) Gary m Killian | | | Date of Receipt | | |
| ٠. | Mailing Address 745 SEVENTH AVENU | | | M M / D D / Y Y Y Y | | |
| | THE | L | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385670 | | |
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| | federal political committee. | C | | 20.00 | | |
| | Name of Employer | Occupation | n | \dashv | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | | NG DIRECTOR | | | |
| | INC. Receipt For: | - | Year-to-Date ▼ | - | | |
| | Primary General | 33.08410 | | 1 | | |
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| | NAME OF COMMITTEE (In Full) | | | | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holding | s Inc. | | | | |
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| | Full Name (Last, First, Middle Initial) | | | D | | |
| Α. | Gary m Killian | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 | 2006 | |
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| | federal political committee. | C | | | 20.00 | |
| | Name of Employee | 0 | | | | |
| | LEHMAN BROTHERS HOLDINGS | Occupation | ng director | | | |
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| | Other (specify) ▼ | l | 380.00 | | | |
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| | Full Name (Last, First, Middle Initial) | | | | | |
| В. | John A Kirk | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 | 2006 | |
| | City | Zip Code | Transaction ID: A2 | | | |
| | City State NEW YORK NY | | 10019 | Amount of Each Receipt this Period | | |
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| | federal political committee. | C | | | 50.00 | |
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| | LEHMAN BROTHERS HOLDINGS | Occupation | n NG DIRECTOR | | | |
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| C. | John A Kirk | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 | 2006 | |
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| federal political committee. | | | | | 50.00 | |
| Name of Employer | | Occupation | า | _ | | |
| | | | NG DIRECTOR | | | |
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| SCHEDULE A (FEC Form 3X) | | | Use separate schedule(s) | FOR LINE NUMBER: PAGE 41 / 90 | | |
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| or | for commercial purposes, other than using the r | name and add | dress of any political committee to | solicit contributions from such committee. | | |
| \setminus | NAME OF COMMITTEE (In Full) | | | | | |
| \rangle | Action Fund of Lehman Brothers Holdin | igs Inc. | | | | |
| Α. | Full Name (Last, First, Middle Initial) Jonathan M Kitei | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 / 08 / 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385718 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 30.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| | Primary General | - | 540.00 | | | |
| | Other (specify) ▼ | 0 0 | 0 0 0 0 0 0 | | | |
| В. | Full Name (Last, First, Middle Initial) Jonathan M Kitei | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUI | 09 22 4 2006 | | | | |
| | City State | | Zip Code | Transaction ID: A2006-1388715 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | С | | 30.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | | |
| | Primary General | | 570.00 | | | |
| | Other (specify) ▼ | | 370.00 | | | |
| C. | Full Name (Last, First, Middle Initial) Richard W Knee | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385677 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC ID number of contributing federal political committee. | | C | | 50.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | | |
| | | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 900.00 | | | |
| | Other (specify) 🔻 | | | | | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 42 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso lress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| \rangle | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Richard W Knee | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 7 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388675 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUTI Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Stephen Lessing Mailing Address 745 SEVENTH AVENUE | Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385781 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 10010 | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGIN | n NG DIRECTOR | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 900.00 | |
| | Full Name (Last, First, Middle Initial) Stephen Lessing | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City State NEW YORK NY | | State NY | Zip Code 10019 | Transaction ID: A2006-1388772 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: | | n NG DIRECTOR Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 1.99.09410 | 950.00 | |
| s | UBTOTAL of Receipts This Page (optional) | | | 150.00 |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 43 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso lress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Robert c Lieber | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 2006 |
| | City | State NY | Zip Code | Transaction ID: A2006-1385763 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | | VICE PRESIDENT Year-to-Date ▼ 360.00 | |
| 3. | Full Name (Last, First, Middle Initial) Robert c Lieber Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2006-1388755 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 380.00 | |
| | Full Name (Last, First, Middle Initial) Robert Lieberberg | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385705 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: | | NG DIRECTOR | |
| | Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 900.00 | |
| s | UBTOTAL of Receipts This Page (optional) | | | 90.00 |
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| or | for commercial purposes, other than using the r | ame and add | dress of any political committee to | solicit contributions from such committee. | | |
| | NAME OF COMMITTEE (In Full) | | | | | |
| | Action Fund of Lehman Brothers Holdin | gs Inc. | | | | |
| Α. | Full Name (Last, First, Middle Initial) Robert Lieberberg | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUI | | | M M / D D / Y Y Y Y Y O O O O O | | |
| | City | State | Zip Code | | | |
| | NEW YORK | NY | 10019 | Transaction ID: A2006-1388703 | | |
| | | INT | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 50.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n NG DIRECTOR | | | |
| | INC. Receipt For: | 1 | Year-to-Date ▼ | | | |
| | Primary General | 7.99.094.0 | 1 1 1 1 1 1 1 1 1 | 1 | | |
| | Other (specify) ▼ | | 950.00 | | | |
| | | | | 4 | | |
| В. | Full Name (Last, First, Middle Initial) William e Lighten | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUI | <u> </u> | | M M / D D / Y Y Y Y | | |
| | | | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385664 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | С | | 20.00 | | |
| | | | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
| | INC. | | VICE PRESIDENT | | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | | | |
| | Other (specify) | | 360.00 | | | |
| | Cirici (specify) • | | | 1 | | |
| <u> </u> | Full Name (Last, First, Middle Initial) William e Lighten | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUI | | | M M / D D / Y Y Y Y | | |
| | | | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388664 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | 20.00 | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | 7 | | |
| | LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 200.00 | 1 | | |
| | Other (specify) ▼ | | 380.00 | | | |
| _ | | | | | | |
| | | | | 90.00 | | |
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| or | for commercial purposes, other than using the name | ne and add | dress of any political committee to | solicit contribution | ons from s | uch committee. | |
| | NAME OF COMMITTEE (In Full) | | | | | | |
| | Action Fund of Lehman Brothers Holdings | Inc. | | | | | |
| _ | Full Name (Last, First, Middle Initial) | | | Data of Da | | | |
| Α. | James Lister Mailing Address 745 SEVENTH AVENUE | | | Date of Re | | / Y Y Y Y | |
| | | | | 0 9 | 0 8 | 2006 | |
| | City | State | Zip Code | Transactio | n ID: A2 | 006-1385635 | |
| | NEW YORK | NY | 10019 | Amount of | Each Rec | eipt this Period | |
| | FEC ID number of contributing federal political committee. | С | | | | 60.00 | |
| | | Occupation /ICE PR | n ESIDENT | 1 | | | |
| | | Aggregate | Year-to-Date ▼ | | | | |
| | Primary General | | 1080.00 | 1 | | | |
| | Other (specify) ▼ | 0 0 | 1000.00 | | | | |
| В. | Full Name (Last, First, Middle Initial) James Lister | | | Date of Re | eceipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 | 22 | 2006 | |
| | City State | | Zip Code | Transactio | Transaction ID: A2006-1388639 | | |
| | NEW YORK | NY | 10019 | Amount of | Each Rec | eipt this Period | |
| | FEC ID number of contributing federal political committee. | С | | | | 60.00 | |
| | I EHMAN REOTHERS HOLDINGS I | Occupation | n ESIDENT | | | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | | | |
| | Primary General | | 1140.00 | 1 | | | |
| | Other (specify) | 0 0 | 1110.00 | | | | |
| <u> </u> | Full Name (Last, First, Middle Initial) Joseph a Loftus | | | Date of Re | eceint | | |
| ٥. | Mailing Address 745 SEVENTH AVENUE | | | M M / | | / Y 'Y 'Y 'Y | |
| | | | | 0 9 | 0 8 | 2006 | |
| | City | State | Zip Code | | • | 006-1385693 | |
| | NEW YORK | NY | 10019 | Amount of | Each Rec | eipt this Period | |
| | FEC ID number of contributing federal political committee. | C | | | | 30.00 | |
| | | Occupation SENIOR | n VICE PRESIDENT | | | | |
| | | Aggregate | Year-to-Date ▼ | | | | |
| | Primary General | | 540.00 | 1 | | | |
| | Other (specify) | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | | | 150.00 | |
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SCHEDULE A (FEC Form 3X)

| SCHEDULE A (FEC Form 3X) | | | Use separate schedule(s) | FOR LINE NUMBER: PAGE 46 / 90 | | |
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| or | for commercial purposes, other than using the | name and ado | dress of any political committee to | solicit contributions from such committee. | | |
| \setminus | NAME OF COMMITTEE (In Full) | | | | | |
| | Action Fund of Lehman Brothers Holdin | | | | | |
| Α. | Full Name (Last, First, Middle Initial) Joseph a Loftus | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 / 22 / 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388691 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 30.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | 1 VICE PRESIDENT | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 570.00 | 1 | | |
| | Other (specify) | 0 0 | 0,0.00 | | | |
| В. | Full Name (Last, First, Middle Initial) David W Lohuis | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | 09 / 08 / 2006 | | | | |
| | City | State | Zip Code | Transaction ID: A2006-1385642 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing federal political committee. | C | | 50.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | 1 VICE PRESIDENT | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General Other (specify) ▼ | 0 0 | 900.00 | | | |
| <u> </u> | Full Name (Last, First, Middle Initial) David W Lohuis | | | Date of Receipt | | |
| ٠. | Mailing Address 745 SEVENTH AVENU | | | M M / D D / Y Y Y Y | | |
| | | | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388646 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| FEC ID number of contributing federal political committee. | | C | | 50.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. SEI | | 1 VICE PRESIDENT | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 950.00 | 1 | | |
| | Other (specify) | | | 1 | | |
| s | UBTOTAL of Receipts This Page (optional) | | | 130.00 | | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 47 / 90 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | js Inc. | | |
| 33. | Full Name (Last, First, Middle Initial) Tim F Luke Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Tim F Luke Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) General Other (specify) | State NY C Occupation EXECUT Aggregate State NY C Occupation EXECUT | Zip Code 10019 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Frank j Lupo jr Mailing Address 745 SEVENTH AVENUE | | | | Date of Receipt 0 9 0 8 2 0 0 6 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385687 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 10010 | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | | vice PRESIDENT e Year-to-Date ▼ 360.00 | |
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| \setminus | NAME OF COMMITTEE (In Full) | | | |
| \rangle | Action Fund of Lehman Brothers Holdin | gs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Frank j Lupo jr | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | 09 22 7 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388685 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 380.00 | |
| В. | Full Name (Last, First, Middle Initial) Salli B Madden | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | 09 08 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
| | City | State | Zip Code | Transaction ID: A2006-1385707 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 360.00 | |
| <u> </u> | Full Name (Last, First, Middle Initial) Salli B Madden | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | 09 / 22 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
| | City | State | Zip Code | Transaction ID: A2006-1388705 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | 7 |
| | Primary General Other (specify) ▼ | | 380.00 | |
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| or | y information copied from such Reports and State for commercial purposes, other than using the nar | me and add | lress of any political committee to | solicit contribution | ons from su | uch committee. |
| | NAME OF COMMITTEE (In Full) | | | | | |
| / | Action Fund of Lehman Brothers Holding | s Inc. | | | | |
| ٩. | Full Name (Last, First, Middle Initial) Francis K Mahoney | | | Date of Re | eceint | |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / | D D | YYYY |
| | 01 | 01-1- | 7's Osda | 0 9 | 0.8 | 2006 |
| | NEW YORK | State NY | Zip Code 10019 | | | 06-1385741 |
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| | | Occupation EXECUT | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | ' ' | 900.00 | | | |
| | Other (specify) ▼ | | | | | |
| 3. | Full Name (Last, First, Middle Initial) Francis K Mahoney | | | Date of Re | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 | 22 | 2006 |
| | City | State | Zip Code | | | 06-1388735 |
| | NEW YORK | NY | 10019 | | | eipt this Period |
| | FEC ID number of contributing | | | 7 | | |
| | federal political committee. | C | | | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | | | |
| | LEHMAN BROTHERS HOLDINGS INC. | EXECUT | IVE | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General Other (specify) ▼ | ' ' | 950.00 | | | |
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| Э. | Full Name (Last, First, Middle Initial) Guy A Majectic | | | Date of Re | eceipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 08 | 2006 |
| | City | State | Zip Code | | | 06-1385688 |
| | NEW YORK | NY | 10019 | | | eipt this Period |
| | FEC ID number of contributing | С | | | | 15.00 |
| | federal political committee. | | | | | |
| | LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | | | |
| | Primary General | 00 0 | | | | |
| | Other (specify) | | 270.00 | | | |
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| Oi | | lame and add | aress or any political committee to | Solicit Contributions from Such Committee. | | | |
| | NAME OF COMMITTEE (In Full) | | | | | | |
| | Action Fund of Lehman Brothers Holdin | gs inc. | | | | | |
| Α. | Full Name (Last, First, Middle Initial) Guy A Majectic | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENU | Ξ | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | |
| | City | State | Zip Code | Transaction ID: A2006-1388686 | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| | | 111 | 10013 | Amount of Lach necept this Period | | | |
| | FEC ID number of contributing federal political committee. | C | | 15.00 | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | | |
| | Primary General | | | 1 | | | |
| | Other (specify) ▼ | | 285.00 | | | | |
| | | | | | | | |
| В. | Full Name (Last, First, Middle Initial) Andrew j Malik | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENU | = | | 09 08 2006 | | | |
| | City | State | Zip Code | Transaction ID: A2006-1385714 | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing federal political committee. | С | | 50.00 | | | |
| | rederal political committee. | | | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | | |
| | INC. | | NG DIRECTOR | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | | |
| | Primary General | | 900.00 | | | | |
| | Other (specify) | | | J | | | |
| <u> </u> | Full Name (Last, First, Middle Initial) | | | Date of Receipt | | | |
| U . | Andrew j Malik Mailing Address 745 SEVENTH AVENU | | | M M / D D / Y Y Y Y | | | |
| | Walling Address 743 SEVENTITIAVENO | = | | 09 22 2006 | | | |
| | City | State | Zip Code | Transaction ID: A2006-1388711 | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing | | | 50.00 | | | |
| | federal political committee. | С | | 50.00 | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | | |
| | INC. | | NG DIRECTOR | _ | | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | , | | | |
| | Other (specify) | | 950.00 | | | | |
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| An or | y information copied from such Reports and Stat for commercial purposes, other than using the na | ements may | not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. | |
| $\overline{}$ | NAME OF COMMITTEE (In Full) | | , , , , , , , , , , , , , , , , , , , | | 1 |
| \rangle | Action Fund of Lehman Brothers Holding | gs Inc. | | | |
| ۹. | Full Name (Last, First, Middle Initial) John V Malvey | | | Date of Receipt | _ |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 7 2006 | |
| | City | State | Zip Code | Transaction ID: A2006-1385723 | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | |
| | FEC ID number of contributing federal political committee. | C | | 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 900.00 | | |
| 3. | Full Name (Last, First, Middle Initial) John V Malvey | | | Date of Receipt | _ |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | |
| | City | State | Zip Code | Transaction ID: A2006-1388720 | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | |
| | FEC ID number of contributing federal political committee. | C | | 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 950.00 | | |
| - C. | Full Name (Last, First, Middle Initial) Alan j Marantz | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 YYYYY 2006 | |
| | City | State | Zip Code | Transaction ID: A2006-1385751 | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | |
| | FEC ID number of contributing federal political committee. | C | | 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 900.00 | | |
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| Ar or | y information copied from such Reports and State for commercial purposes, other than using the nar | ements may me and add | not be sold or used by any perso dress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. | |
| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdings | s Inc. | | | |
| <u>/</u> | Full Name (Last, First, Middle Initial) Alan j Marantz Mailing Address 745 SEVENTH AVENUE City State NEW YORK NY FEC ID number of contributing federal political committee. Current State NY Current State NY Current State NY FEC ID number of contributing federal political committee. Current State NY Occupant State NY Ame of Employer LEHMAN BROTHERS HOLDINGS INC. | | Zip Code 10019 NG DIRECTOR e Year-to-Date ▼ 2ip Code | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | |
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| | NAME OF COMMITTEE (In Full) | | | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holdings Inc | . | | | |
| \angle | | | | | |
| | Full Name (Last, First, Middle Initial) | | | D-1f | Descint |
| Α. | Lawrence C Marsh Mailing Address 745 SEVENTH AVENUE | | | M M | Receipt / P P P P P P P P P P P P P P P P P P |
| | Walling Addicess 745 SEVENTH AVENUE | | | 0 9 | 08 2006 |
| | City Sta | ate | Zip Code | Transac | etion ID: A2006-1385676 |
| | NEW YORK NY | | 10019 | Amount | t of Each Receipt this Period |
| | FEC ID number of contributing | | | • | 50.00 |
| | federal political committee. | | | | 30.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | upatio | n | | |
| | INC. | CUT | | | |
| | | regate | e Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 900.00 | | |
| | Other (specify) | 0 | 0 0 0 0 0 0 0 | 1 | |
| _ | Full Name (Last, First, Middle Initial) | | | | |
| В. | Lawrence C Marsh | | | Date of | <u>'</u> |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 22 2006 |
| | City Sta | ate | Zip Code | Transac | ction ID: A2006-1388674 |
| | NEW YORK NY | / | 10019 | | t of Each Receipt this Period |
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| | federal political committee. | | | | 50.00 |
| | Name of Employer | upatio | n | _ | |
| | LEHMAN BROTHERS HOLDINGS | CUT | | | |
| | | | e Year-to-Date ▼ | | |
| | Primary General | | | 1 | |
| | Other (specify) ▼ | | 950.00 | | |
| | | | | | |
| C. | Full Name (Last, First, Middle Initial) Ravi K Mattu | | | Date of | Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | M M | <u> </u> |
| | | | | 0 9 | 08 2006 |
| | City Sta | | Zip Code | | ction ID: A2006-1385667 |
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| | federal political committee. | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS MAN | upatio | n | | |
| | INC. | | NG DIRECTOR | | |
| | | regate | e Year-to-Date ▼ | . | |
| | Primary General Other (specify) ▼ | | 900.00 | | |
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| $\overline{}$ | NAME OF COMMITTEE (In Full) | | | |
| \rangle | Action Fund of Lehman Brothers Holding | ıs Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Ravi K Mattu | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 7 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388667 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | С | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 0 0 | 950.00 | |
| 3. | Full Name (Last, First, Middle Initial) JANICE B MCCOMBIE | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | 09 / 08 / 4 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385769 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | |
| | INC. Receipt For: | | Year-to-Date ▼ | - |
| | Primary General | | 270.00 | |
| | Other (specify) ▼ | | 270.00 | |
| Э. | Full Name (Last, First, Middle Initial) JANICE B MCCOMBIE | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 22 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2006-1388761 |
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| | FEC ID number of contributing federal political committee. | С | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | IVE | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 285.00 | |
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| or | for commercial purposes, other than using the | name and add | dress of any political committee to | o solicit contributions from such committee. |
| \setminus | NAME OF COMMITTEE (In Full) | | | |
| | Action Fund of Lehman Brothers Holdi | ngs Inc. | | |
| A. | <u> </u> | | | Date of Receipt |
| | Mailing Address 326 Oakhust Dr. | | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385656 |
| | Murphy | TX | 75094 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 270.00 | |
| В. | Full Name (Last, First, Middle Initial) Troy M McSimov | | | Date of Receipt |
| | Mailing Address 326 Oakhust Dr. | | | 09 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2006-1388657 |
| | Murphy | TX | 75094 | Amount of Each Receipt this Period |
| | FEC ID number of contributing | С | | 15.00 |
| | federal political committee. | | | |
| | federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | IVE | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General | Occupation EXECUT | IVE Year-to-Date ▼ | Date of Receipt |
| C. | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: □ Primary □ General □ Other (specify) ▼ Full Name (Last, First, Middle Initial) | Occupation EXECUT Aggregate | IVE Year-to-Date ▼ | Date of Receipt M M M D D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENU | Occupation EXECUT Aggregate | Year-to-Date ▼ 285.00 Zip Code | M M M O D D O S 2 0 0 6 Transaction ID: A2006-1385697 |
| C. | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: | Occupation EXECUT Aggregate | Year-to-Date ▼ 285.00 | 09 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| C. | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: | Occupation EXECUT Aggregate | Year-to-Date ▼ 285.00 Zip Code | M M M O D D O S 2 0 0 6 Transaction ID: A2006-1385697 |
| C . | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENU City NEW YORK FEC ID number of contributing | Occupation EXECUT Aggregate State NY C Occupation EXECUT | Year-to-Date ▼ 285.00 Zip Code 10019 | M M M O D D O S 2 0 0 6 Transaction ID: A2006-1385697 Amount of Each Receipt this Period |
| C. | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENU City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation EXECUT Aggregate State NY C Occupation EXECUT | Zip Code 10019 Zip Code 1 VE | M M M O D D O S 2 0 0 6 Transaction ID: A2006-1385697 Amount of Each Receipt this Period |
| C . | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENU City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: | Occupation EXECUT Aggregate State NY C Occupation EXECUT | Year-to-Date ▼ 285.00 Zip Code 10019 | M M M O D D O S 2 0 0 6 Transaction ID: A2006-1385697 Amount of Each Receipt this Period |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Matthew C Miller Mailing Address 745 SEVENTH AVENU City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General | Occupation EXECUT Aggregate State NY C Occupation EXECUT Aggregate | Zip Code 10019 Zip Code 270.00 | M M M O D D O S 2 0 0 6 Transaction ID: A2006-1385697 Amount of Each Receipt this Period |

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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | gs Inc. | | | |
| ۹. | Full Name (Last, First, Middle Initial) Grant C Miller Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt | / Y Y Y Y Y |
| | | State | Zip Code | 09 08 | 2006 |
| | City NEW YORK | NY | 10019 | Transaction ID: A20 Amount of Each Received | |
| | FEC ID number of contributing federal political committee. | C | | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | IVE | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 270.00 | | |
| 3. | Full Name (Last, First, Middle Initial) Matthew C Miller | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 22 | 2006 |
| | City NEW YORK | State NY | Zip Code | Transaction ID: A20 | |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Rec | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 285.00 | | |
|) . | Full Name (Last, First, Middle Initial) Grant C Miller | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A20 Amount of Each Rec | |
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| \rangle | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | | ,,, | | |
| 4 . | Full Name (Last, First, Middle Initial) John p Murray jr Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt | |
| | City | State | Zip Code | 0 9 0 8 Transaction ID: A2 | 2 0 0 6 2006-1385639 |
| | NEW YORK | NY | 10019 | Amount of Each Re | |
| | FEC ID number of contributing federal political committee. | C | | | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | ESIDENT | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 360.00 | | |
| 3. | Full Name (Last, First, Middle Initial) John p Murray jr | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 22 | 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2 | |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Re | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | ESIDENT | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 380.00 | | |
|). | Full Name (Last, First, Middle Initial) Frank A Napolitano | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 08 | 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2 Amount of Each Re | |
| | FEC ID number of contributing federal political committee. | C | | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | IVE | | |
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| \setminus | NAME OF COMMITTEE (In Full) | | | |
| \rangle | Action Fund of Lehman Brothers Holdin | gs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Frank A Napolitano | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | Ξ | | 09 22 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388716 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 950.00 | |
| В. | Full Name (Last, First, Middle Initial) Deirdre j O'donnell | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 YYYYY 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385647 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 0 0 | 360.00 | |
| <u> </u> | Full Name (Last, First, Middle Initial) Deirdre j O'donnell | | | Date of Receipt |
| - | Mailing Address 745 SEVENTH AVENUE | Ξ. | | 09 / 22 / 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388651 |
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| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | |
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| or | ny information copied from such Reports and Statements for commercial purposes, other than using the name and | address of any political committee to | solicit contributions from such committee. |
| | NAME OF COMMITTEE (In Full) | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holdings Inc. | | |
| \mathbb{Z} | Q | | |
| | Full Name (Last, First, Middle Initial) | | Data of Bookint |
| Α. | Michael j Odrich Mailing Address 745 SEVENTH AVENUE | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | 09 08 2006 |
| | City State | Zip Code | Transaction ID: A2006-1385728 |
| | NEW YORK NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing | | 50.00 |
| | federal political committee. | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS VICE | ation | |
| | INC. | PRESIDENT | |
| | | gate Year-to-Date ▼ | |
| | Primary General Other (specify) | 900.00 | |
| | Other (specify) | | 1 |
| _ | Full Name (Last, First, Middle Initial) | | Patrick Provide |
| Ь. | Michael j Odrich Mailing Address 745 SEVENTU AVENUE | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | 09 22 2006 |
| | City State | Zip Code | Transaction ID: A2006-1388724 |
| | NEW YORK NY | 10019 | Amount of Each Receipt this Period |
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| | federal political committee. | | 50.00 |
| | Name of Employer Occup | ation | \dashv |
| | | PRESIDENT | |
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| C. | Full Name (Last, First, Middle Initial) Robert Okin | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | M " M / D " D / Y " Y " Y " Y |
| | | | 09 08 2006 |
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| Ar or | ny information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso ress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| \rangle | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Robert Okin | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388721 |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUT Aggregate | | |
| 3. | Full Name (Last, First, Middle Initial) Sotirios Panageas Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M O 9 O 8 O 0 6 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385734 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 10010 | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation VICE PRI | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 900.00 | |
| | Full Name (Last, First, Middle Initial) Sotirios Panageas | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | 09 / 22 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388729 Amount of Each Receipt this Period |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation VICE PRI | ESIDENT | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 950.00 | |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 61 / 90 (check only one) X 11a 11b 11c 12 |
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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Brian K Paul | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 08 / 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385755 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 30.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary Other (specify) ▼ Occup EXEC | | | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUTI | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 570.00 | |
| _ | Full Name (Last, First, Middle Initial) James c Penrose | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | 0 9 / 0 8 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385752 Amount of Each Receipt this Period |
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| | | | NG DIRECTOR Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | , agrogate | 720.00 | |
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| or | | ame and add | iress of any political committee to | solicit contributions from such committee. | | |
| | NAME OF COMMITTEE (In Full) | | | | | |
| | Action Fund of Lehman Brothers Holdin | gs Inc. | | | | |
| _ | Full Name (Last, First, Middle Initial) | | | 2. (2 | | |
| Α. | James c Penrose | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | = | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388746 | | |
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| | federal political committee. | C | | 40.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | 7 | | |
| | INC. | MANAGII | NG DIRECTOR | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 760.00 | 1 | | |
| | Other (specify) ▼ | 0 0 | 100.00 | | | |
| _ | Full Name (Last, First, Middle Initial) | | | 2 | | |
| В. | | _ | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | Ξ | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385682 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | С | | 20.00 | | |
| | federal political committee. | | | 25.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
| | INC. | | NG DIRECTOR | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General Other (specify) ▼ | | 360.00 | | | |
| | Other (specify) | 0 0 | 0 0 0 0 0 0 0 | 1 | | |
| <u> </u> | Full Name (Last, First, Middle Initial) Stephen Peters | | | Date of Receipt | | |
| Ο. | Mailing Address 745 SEVENTH AVENU | = | | M M / D D / Y Y Y Y | | |
| | | _ | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388680 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | C | | 20.00 | | |
| | federal political committee. | | | 20.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
| | INC. | | NG DIRECTOR | _ | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | , | | |
| | Other (specify) | | 380.00 | | | |
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| | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | | iress of any political committee to | Solicit Contributions ITO | in such committee. | | | |
| Α. | Full Name (Last, First, Middle Initial) Louis Pia Mailing Address 745 SEVENTH AVENUE City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS | State NY C | | 0 9 0 Transaction ID: | 8 2006 A2006-1385746 Receipt this Period | | | |
| | INC. Receipt For: Primary Other (specify) | | VICE PRESIDENT • Year-to-Date ▼ 360.00 | | | | | |
| 3. | Full Name (Last, First, Middle Initial) Louis Pia Mailing Address 745 SEVENTH AVENUE City | 0 9 2 | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | SENIOR | VICE PRESIDENT Year-to-Date ▼ 380.00 |] | | | | |
| Э. | Full Name (Last, First, Middle Initial) Joseph Polizzotto Mailing Address 745 SEVENTH AVENUE | | | | D / Y · Y · Y · Y | | | |
| | City NEW YORK FEC ID number of contributing federal political committee. | State NY | Zip Code 10019 | Transaction ID: | 8 2 0 0 6 A2006-1385716 Receipt this Period 20.00 | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | | NG DIRECTOR Year-to-Date ▼ 360.00 | | | | | |
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| | NAME OF COMMITTEE (In Full) | | | | | |
| / | Action Fund of Lehman Brothers Holdin | igs inc. | | | | |
| _ | Full Name (Last, First, Middle Initial) | | | | | |
| Α. | Joseph Polizzotto | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | Ē | | 09 22 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1388713 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | | | | | |
| | federal political committee. | C | | 20.00 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | _ | | |
| | INC. | MANAGI | NG DIRECTOR | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | | 380.00 | 1 | | |
| | Other (specify) | | 300.00 | | | |
| _ | Full Name (Last, First, Middle Initial) | | | | | |
| В. | | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 08 2006 | | |
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| | FEC ID number of contributing federal political committee. | C | | 38.46 | | |
| | Name of Employer | Locumetion | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | NG DIRECTOR | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | _ | | |
| | Primary General | 7 1991 09410 | Total to Bate V | 1 | | |
| | Other (specify) ▼ | | 346.14 | | | |
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| C. | Full Name (Last, First, Middle Initial) Brian M Reilly | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 08 2006 | | |
| | City | State | Zip Code | Transaction ID: A2006-1385704 | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | |
| | FEC ID number of contributing | | 10010 | | | |
| | federal political committee. | С | | 25.00 | | |
| | Name of Employer | | 1 | \dashv | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | |
| | Primary General | - | 450.00 | 1 | | |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) | | | | | | | |
| _ | Action Fund of Lehman Brothers Holding | s inc. | | | | | | |
| ۹. | Full Name (Last, First, Middle Initial) Brian M Reilly | | | Date of Receipt | | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 2006 | | | | |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388702 | | | | |
| | FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 25.00 | | | | |
| | · | Occupation | | | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | EXECUT | IVE | | | | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | , | | | | |
| | Other (specify) | 0 0 | 475.00 | | | | | |
| 3. | Full Name (Last, First, Middle Initial) Dominic Rispoli | | | Date of Receipt | | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 2006 | | | | |
| | City | State | Zip Code | Transaction ID: A2006-1385722 | | | | |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | | |
| | FEC ID number of contributing federal political committee. | C | | 25.00 | | | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | | | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | _ | | | | |
| | Primary General Other (specify) ▼ | | 450.00 | | | | | |
| - C. | Full Name (Last, First, Middle Initial) Dominic Rispoli | | | Date of Receipt | | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | | | | |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | | , , , , , , , , , , , , , , , , , , , | | |
| _ | Full Name (Last, First, Middle Initial) | | | | |
| ۹. | Gerald a Rizzieri Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt | / Y |
| | City | State | Zip Code | 0 9 0 8 Transaction ID: A2 | 2 0 0 6 |
| | NEW YORK | NY | 10019 | Amount of Each Re | |
| | FEC ID number of contributing federal political committee. | С | | | 40.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | n VICE PRESIDENT | | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | , | |
| | Other (specify) ▼ | | 720.00 | | |
| 3. | Full Name (Last, First, Middle Initial) Gerald a Rizzieri | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 2006 |
| | City | State | Zip Code | Transaction ID: A2 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation SENIOR | 1 VICE PRESIDENT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 760.00 | | |
| D. | Full Name (Last, First, Middle Initial) Mark J Rossano | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 08 | 2006 |
| | City | State | Zip Code | Transaction ID: A2 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | IVE | | |
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| | Other (specify) ▼ | | 540.00 | | |
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| <u> </u> | NAME OF COMMITTEE (In Full) | ino and add | noce of any political committee to | Solicit Continuations | Trom odom committee. |
| / | Action Fund of Lehman Brothers Holding | c Inc | | | |
| / | Action I and of Lemman Brothers Holding | S IIIC. | | | |
| | Full Name (Last, First, Middle Initial) | | | | |
| ۹. | Mark J Rossano | | | Date of Receip | pt |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 22 2006 |
| | City | State | Zip Code | | D: A2006-1388694 |
| | NEW YORK | NY | 10019 | | ch Receipt this Period |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | |
| | INC. | EXECUT | | 4 | |
| | Receipt For: Primary General | Aggregate | Year-to-Date ▼ | | |
| | Other (specify) | | 570.00 | | |
| | | | | | |
| | Full Name (Last, First, Middle Initial) | | | | |
| 3. | Beth I Rowley | | | Date of Receip | pt |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 08 2006 |
| City | | State Zip Code | | | D : A2006-1385698 |
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| | federal political committee. | C | | | 40.00 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n NG DIRECTOR | | |
| | INC. Receipt For: | | Year-to-Date V | \dashv | |
| | Primary General | Aggregate | Total to Date 🔻 | | |
| | Other (specify) ▼ | l | 640.00 | | |
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| • | Full Name (Last, First, Middle Initial) | | | Data of Book | -1 |
| ٠. | Beth I Rowley Mailing Address 745 SEVENTH AVENUE | | | Date of Receip | |
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| | | | NG DIRECTOR | | |
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| | Primary General | _ · · · | 600.00 | | |
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| \ | NAME OF COMMITTEE (In Full) | | | | | |
| / | Action Fund of Lehman Brothers Holding | s Inc. | | | | |
| | Full Name (Last, First, Middle Initial) | | | | | |
| ١. | Thomas A Russo | | | Date of Re | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 0 8 | 2006 |
| | City | State | Zip Code | Transactio | on ID: A20 | 006-1385778 |
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| | federal political committee. | | | | 1 1 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | 7 | | |
| | INC. | | NG DIRECTOR | | | |
| | Receipt For: Primary General | Aggregate | e Year-to-Date ▼ | | | |
| | Other (specify) | | 900.00 | | | |
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| 3. | Full Name (Last, First, Middle Initial) Thomas A Russo | | | Date of Re | eceint | |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / | | / Y |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n NG DIRECTOR | | | |
| | INC. Receipt For: | | Year-to-Date ▼ | | | |
| | Primary General | | | | | |
| | Other (specify) | | 950.00 | | | |
| | Full Name (Last, First, Middle Initial) | | | | | |
| Э. | Ms. Ladivala Sajjad | | | Date of Re | eceipt | |
| | Mailing Address 745 SEVENTH AVE 13TH | H FLOOR | | 0 9 | 0 8 | 2006 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n | | | |
| | INC. | Executive | | | | |
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| or | for commercial purposes, other than using the na NAME OF COMMITTEE (In Full) | me and add | dress of any political committee to | solicit contribution | ons from s | uch committee. |
| \rangle | Action Fund of Lehman Brothers Holding | s Inc. | | | | |
| ۹. | Full Name (Last, First, Middle Initial) Ms. Ladivala Sajjad | | | Date of Re | | |
| | Mailing Address 745 SEVENTH AVE 13TI | | | 09 | 22 | 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | | | 006-1388781 eipt this Period |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | | | | |
| | Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Aggregate | Year-to-Date ▼ 270.00 | | | |
| 3. | Full Name (Last, First, Middle Initial) Gilbert D Sanborn | | | Date of Re | | |
| | Mailing Address 745 SEVENTH AVENUE | | | 0 9 | 0 8 | 2006 |
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|) . | Full Name (Last, First, Middle Initial) Gilbert D Sanborn | | | Date of Re | eceipt | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation | IVE | | | |
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| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdi | | | | | | | |
| Full Name (Last, First, Middle Initial) David M Schlageter Mailing Address 745 SEVENTH AVENU City NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS | State NY C | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | |
| INC. Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 1080.00 | | | | | |
| Full Name (Last, First, Middle Initial) David M Schlageter Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | |
| City | State | Zip Code | Transaction ID: A2006-1388666 | | | | |
| NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 60.00 | | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation EXECUT Aggregate | | | | | | |
| Full Name (Last, First, Middle Initial) David a Schwadron Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y | | | | |
| City | State | Zip Code | Transaction ID: A2006-1385700 | | | | |
| NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 16.00 | | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | | n ESIDENT e Year-to-Date ▼ 288.00 | | | | | |
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| $\overline{\ \ }$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | gs Inc. | | |
| | | State NY | Zip Code 10019 | Date of Receipt M M J D D J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | | ESIDENT Year-to-Date 304.00 | 16.00 |
| 3. | Full Name (Last, First, Middle Initial) Mindy Schwazpfel Mailing Address 45 Peach Dr City Rosyn FEC ID number of contributing federal political committee. | State NY | Zip Code 11576 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation EXECUT Aggregate | | |
| - | Full Name (Last, First, Middle Initial) Mindy Schwazpfel Mailing Address 45 Peach Dr City Rosyn | State NY | Zip Code 11576 | Date of Receipt M M M |
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| $\frac{\text{or}}{\left.\right\rangle}$ | for commercial purposes, other than using the na NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | | ress of any political committee to | solicit contributions from such committee. |
| ۹. | Full Name (Last, First, Middle Initial) Mark G Shafir Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt |
| | | | | 09 / 08 / 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385772 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 100.10 | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General | Occupation EXECUT Aggregate | IVE Year-to-Date ▼ | |
| | Other (specify) | 0 0 | 900.00 | |
| Full Name (Last, First, Middle Initial) Mark G Shafir Mailing Address 745 SEVENTH AVENUE | | | | Date of Receipt Date of Receipt 2 2 2 2 2 0 0 6 |
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| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 950.00 | |
| - C. | Full Name (Last, First, Middle Initial) David n Sherr | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | 09 / 08 / 4 2006 |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385737 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOF | | C | | 20.00 |
| | | | VICE PRESIDENT | |
| _ | Primary General Other (specify) ▼ | nggregate | Year-to-Date ▼ 360.00 | |
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| | NAME OF COMMITTEE (In Full) | | | | | |
| $ \rangle$ | Action Fund of Lehman Brothers Holding | s Inc. | | | | |
| | | | | | | |
| | Full Name (Last, First, Middle Initial) | | | | | |
| Α. | David n Sherr | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | | 22 2006 | |
| | City | State | Zip Code | | : A2006-1388732 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
| | INC. Receipt For: | | VICE PRESIDENT Year-to-Date ▼ | _ | | |
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| | Other (specify) | | 380.00 | | | |
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| _ | Full Name (Last, First, Middle Initial) | | | | | |
| В. | David A Siffringer | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | | 08 2006 | |
| | City | State | Zip Code | | : A2006-1385689 | |
| | NEW YORK | NY | 10019 | | Receipt this Period | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | | | |
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| _ | Full Name (Last, First, Middle Initial) | | | | | |
| C. | David A Siffringer | | | Date of Receipt | | |
| | Mailing Address 745 SEVENTH AVENUE | | | | 22 2006 | |
| | City | State | Zip Code | | : A2006-1388687 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation EXECUT | | | | |
| INC. Receipt For: Primary General | | | Year-to-Date ▼ | \dashv | | |
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| Other (specify) ▼ 380.00 | | | 380.00 | | | |
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| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdings In | с. | |
| Cortlandt Manor FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. | ate Zip Code Y 10567 upation ECUTIVE gregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Lawrence G Simons Mailing Address 35 Pamela Road | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City St <u>Cortlandt Manor</u> N' | ate Zip Code Y 10567 | Transaction ID: A2006-1388683 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | | 15.00 |
| LEHMAN BROTHERS HOLDINGS INC. | upation ECUTIVE gregate Year-to-Date ▼ 285.00 | |
| Full Name (Last, First, Middle Initial) 2. Alan A Sparks | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City St NEW YORK N' | ate Zip Code Y 10019 | Transaction ID: A2006-1385655 Amount of Each Receipt this Period |
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| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | js Inc. | | |
| NEW YORK FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Jeremiah B Stafford Mailing Address 745 SEVENTH AVENUE City State | | | | Date of Receipt M M |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | Occupation EXECUT Aggregate | | |
| Э. | Full Name (Last, First, Middle Initial) Jeremiah B Stafford Mailing Address 745 SEVENTH AVENUE | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | |
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| Action Fund of Lehman Brothers Holdi | ngs Inc. | | |
| Full Name (Last, First, Middle Initial) A. John K Sweeney | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENU | JE | | 09 08 7 2006 |
| City | State | Zip Code | Transaction ID: A2006-1385748 |
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| FEC ID number of contributing federal political committee. | C | | 45.00 |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 0 0 | 810.00 | |
| Full Name (Last, First, Middle Initial) 3. John K Sweeney | <u> </u> | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENU | JE | | 09 / 22 / 2006 |
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| Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n NG DIRECTOR | |
| INC. Receipt For: | | Year-to-Date V | \dashv |
| Primary General | 00 0 | | 1 |
| Other (specify) ▼ | 0 0 | 855.00 | |
| Full Name (Last, First, Middle Initial) 2. Peter j Taylor | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENU | JE | | M " M / D " D / Y " Y " Y " Y |
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| federal political committee. | <u> </u> | | 20.00 |
| Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation VICE PR | n ESIDENT | |
| INC. Receipt For: | | Year-to-Date ▼ | |
| Primary General | | 450.00 | 1 |
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| \setminus | NAME OF COMMITTEE (In Full) | | | | |
| | Action Fund of Lehman Brothers Holdin | ngs Inc. | | | |
| A. | Full Name (Last, First, Middle Initial) Peter j Taylor | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 22 2006 | |
| | City | State | Zip Code | Transaction ID: A2006-1388642 | |
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| | FEC ID number of contributing federal political committee. | C | | 25.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation VICE PR | n ESIDENT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 475.00 | | |
| В. | Full Name (Last, First, Middle Initial) Raymond Testa | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENU | 09 08 2006 | | | |
| | City | State | Zip Code | Transaction ID: A2006-1385739 | |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | 1 1 | 450.00 | | |
| <u> </u> | Full Name (Last, First, Middle Initial) Paul g Tobin | | | Date of Receipt | |
| | Mailing Address 745 SEVENTH AVENU | E | | 09 08 2006 | |
| | City | State | Zip Code | Transaction ID: A2006-1385668 | |
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| | FEC ID number of contributing federal political committee. | C | | 50.00 | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGII | n NG DIRECTOR | | |
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| Primary General Other (specify) ▼ | | | 450.00 | | |
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| \setminus | NAME OF COMMITTEE (In Full) | | | |
| \rangle | Action Fund of Lehman Brothers Holdin | gs Inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Frank P Turner | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 / 08 / 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385662 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 30.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 540.00 | |
| В. | Full Name (Last, First, Middle Initial) Frank P Turner | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | 09 22 YYYY 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388662 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 30.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 570.00 | |
| — С. | Full Name (Last, First, Middle Initial) Joseph g Uzelac | | | Date of Receipt |
| • | Mailing Address 745 SEVENTH AVENUE | | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385658 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 25.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation MANAGI | n NG DIRECTOR | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 450.00 | |
| s | UBTOTAL of Receipts This Page (optional) | | _ | 85.00 |
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| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) or each category of the | FOR LINE NUMBER: PAGE 79 / 90 (check only one) X 11a 11b 11c 12 |
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| Ar or | ly information copied from such Reports and State for commercial purposes, other than using the na | ements may me and add | not be sold or used by any perso lress of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| $\overline{\rangle}$ | NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holding | s Inc. | | |
| ۹. | Full Name (Last, First, Middle Initial) Joseph g Uzelac | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | $\begin{bmatrix} & & & & & & & & & & & & & & & & & & &$ |
| | City | State | Zip Code | Transaction ID: A2006-1388659 |
| | NEW YORK FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 25.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: □ Primary □ General □ Other (specify) ▼ Occupation MANAGING DIRECTOR Aggregate Year-to-Date ▼ | | | |
| 3. | Full Name (Last, First, Middle Initial) Matthew J. Vandenack Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2006-1385632 |
| NEW YORK FEC ID number of contributing federal political committee. | | | 10019 | Amount of Each Receipt this Period 18.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUTI | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 324.00 | |
| | Full Name (Last, First, Middle Initial) Matthew J. Vandenack | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | | 09 / 22 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388637 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | С | | 18.00 |
| LEHMAN BROTHERS HOLDINGS EXECU | | Occupation | IVE | |
| _ | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 342.00 | |
| s | UBTOTAL of Receipts This Page (optional) | | | 61.00 |
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| | NAME OF COMMITTEE (In Full) | | | |
| | Action Fund of Lehman Brothers Holdin | ngs Inc. | | |
| A. | Full Name (Last, First, Middle Initial) Keith C Wagro | | | Date of Receipt |
| | Mailing Address 13465 Providence Lalce | e Drive | | 09 / 08 / 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385681 |
| | Alpharetta | GA | 30004 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation EXECUT | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | |
| | Primary General | | 270.00 | 1 |
| | Other (specify) | 0 0 | 270.00 | |
| _ | Full Name (Last, First, Middle Initial) | | | |
| В. | | Delica | | Date of Receipt |
| | Mailing Address 13465 Providence Lalce Drive | | | 09 22 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388679 |
| | Alpharetta | GA | 30004 | Amount of Each Receipt this Period |
| | FEC ID number of contributing | | | 15.00 |
| | federal political committee. | C | | 13.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | <u> </u> | |
| | INC. | EXECUT | IVE | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 285.00 | |
| | Other (specify) | 0 0 | 0 0 0 0 0 0 0 | 1 |
| <u></u> | Full Name (Last, First, Middle Initial) Jon p Walker | | | Date of Receipt |
| Mailing Address 745 SEVENTH AVENUE | | | M M / D D / Y Y Y Y | |
| | | | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385699 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS INC. | Occupation VICE PR | n ESIDENT | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| Primary General | | | 900.00 | 1 |
| | Other (specify) | 0 0 | 300.00 | 1 |
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| SCHEDULE A (FEC Form 3X) | | | l le e e e e e e e e e e e e e e e e e | FOR LINE NUMBER: PAGE 81 / 90 |
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| Oi | | iame and add | aress or any political committee to | Solicit contributions from such committee. |
| | NAME OF COMMITTEE (In Full) | | | |
| | Action Fund of Lehman Brothers Holdin | gs inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Jon p Walker | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / D D / Y Y Y Y |
| | C:h. | Ctata | 7in Code | 09 22 2006 |
| | City | State NY | Zip Code | Transaction ID: A2006-1388697 |
| | NEW YORK | INT | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n ESIDENT | |
| | INC. Receipt For: | 1 | Year-to-Date ▼ | |
| | Primary General | 7.99.094.0 | 1 1 1 1 1 1 1 1 | 1 |
| | Other (specify) ▼ | | 950.00 | |
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| В. | Full Name (Last, First, Middle Initial) Gary S Weinstein | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENUE | | | M M / D D / Y Y Y Y |
| | | | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385783 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing | C | | 50.00 |
| | federal political committee. | 0 | | |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | า | |
| | INC. | MANAGII | NG DIRECTOR | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | |
| | Primary General | | 900.00 | 1 |
| | Other (specify) ▼ | 0 0 | 000.00 | J |
| _ | Full Name (Last, First, Middle Initial) | | | Data of Descript |
| C. | Gary S Weinstein Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt |
| | Walling Address 745 SEVENTH AVENUE | = | | 09 22 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388774 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing | | | 50.00 |
| | federal political committee. | C | | 30.00 |
| | Receipt For: Aç | | | |
| | | | NG DIRECTOR | |
| | | | Year-to-Date ▼ | |
| | Primary General Other (specify) | ' ' | 950.00 | |
| | □ Office (Specify) ♥ | - | 0 0 0 0 0 0 | 1 |
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| SCHEDULE A (FEC Form 3X) | | l lea caparata cabadula(a) | FOR LINE NUMBER: PAGE 82/90 |
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| ITEMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | (check only one) X 11a |
| Any information copied from such Reports and St or for commercial purposes, other than using the | atements may | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdin | | | |
| Full Name (Last, First, Middle Initial) Kevin White Mailing Address 745 SEVENTH AVENU City NEW YORK FEC ID number of contributing federal political committee. | State NY | Zip Code 10019 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUT Aggregate | | |
| Full Name (Last, First, Middle Initial) Kevin White Mailing Address 745 SEVENTH AVENUE | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388751 |
| FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUT Aggregate | | |
| Full Name (Last, First, Middle Initial) Bradley A Whitman Mailing Address 745 SEVENTH AVENU | E | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385636 |
| FEC ID number of contributing federal political committee. | C | 10019 | Amount of Each Receipt this Period 50.00 |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | Occupation EXECUT Aggregate | | |
| SUBTOTAL of Receipts This Page (optional) | | | 150.00 |
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| ITEMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
| Any information copied from such Reports and Sta or for commercial purposes, other than using the r | atements may | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdin | | * * | |
| Full Name (Last, First, Middle Initial) Bradley A Whitman Mailing Address 745 SEVENTH AVENU City NEW YORK | State NY | Zip Code 10019 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) ▼ | Occupation EXECUT Aggregate | | 50.00 |
| Full Name (Last, First, Middle Initial) Robert Willens Mailing Address 745 SEVENTH AVENU | Date of Receipt M M | | |
| City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1385738 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: Primary General Other (specify) | | n NG DIRECTOR e Year-to-Date ▼ | 40.00 |
| C. Robert Willens Mailing Address 745 SEVENTH AVENU | E | | Date of Receipt M M A Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z |
| City NEW YORK | State NY | Zip Code 10019 | Transaction ID: A2006-1388733 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 10019 | 40.00 |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | | n NG DIRECTOR e Year-to-Date ▼ 760.00 | |
| SUBTOTAL of Receipts This Page (optional) | | | 130.00 |
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| | NAME OF COMMITTEE (In Full) | | | |
| / | Action Fund of Lehman Brothers Holdin | igs inc. | | |
| Α. | Full Name (Last, First, Middle Initial) Scott e Willoughby | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | E | | M M / D D / Y Y Y Y |
| | City | Ctata | 7in Code | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385721 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 15.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n ESIDENT | 7 |
| | INC. Receipt For: | 1 | Year-to-Date ▼ | |
| | Primary General | 1.555 | | 1 |
| | Other (specify) ▼ | | 270.00 | |
| | | | | |
| В. | Full Name (Last, First, Middle Initial) Scott e Willoughby | | | Date of Receipt |
| | Mailing Address 745 SEVENTH AVENU | E | | M M / D D / Y Y Y Y |
| | | | | 09 22 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1388718 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | С | | 15.00 |
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| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | | |
| | INC. | _ | ESIDENT - | |
| | Receipt For: Primary General | Aggregate | e Year-to-Date ▼ | , |
| | Other (specify) | ' ' | 285.00 | |
| | Culoi (oposity) 🔻 | 0 0 | | |
| <u> </u> | Full Name (Last, First, Middle Initial) Jeffrey a Zorek | | | Date of Receipt |
| ٠. | Mailing Address 745 SEVENTH AVENU | E | | M M / D D / Y Y Y Y |
| | | | | 09 08 2006 |
| | City | State | Zip Code | Transaction ID: A2006-1385787 |
| | NEW YORK | NY | 10019 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | | | 50.00 |
| | Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | 1 | 7 |
| | LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General | | 000.00 | 1 |
| | Other (specify) ▼ | | 900.00 | |
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| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 85 / 90 (check only one) X 11a 11b 11c 12 | | | |
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| Any information copied from such Reports and S | Statements may | , , | on for the purpose of soliciting contributions | | | |
| or for commercial purposes, other than using the | e name and add | dress of any political committee to | o solicit contributions from such committee. | | | |
| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Hold | lings Inc. | | | | | |
| Full Name (Last, First, Middle Initial) A. Jeffrey a Zorek Mailing Address 745 SEVENTH AVEN | HE | | Date of Receipt | | | |
| | | | 09 22 2006 | | | |
| City | State | Zip Code | Transaction ID: A2006-1388777 | | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| FEC ID number of contributing federal political committee. | C | | 50.00 | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS INC. | | VICE PRESIDENT | | | | |
| Receipt For: Primary General | Aggregate | e Year-to-Date ▼ | - | | | |
| Other (specify) | | 950.00 | | | | |
| Full Name (Last, First, Middle Initial) B. Mark I Zusy | | | Date of Receipt | | | |
| | Mailing Address 745 SEVENTH AVENUE | | | | | |
| City | State | Zip Code | Transaction ID: A2006-1385680 | | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| FEC ID number of contributing federal political committee. | C | | 50.00 | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n VICE PRESIDENT | | | | |
| INC. Receipt For: | | Year-to-Date V | | | | |
| Primary General | 199119411 | | 7 | | | |
| Other (specify) ▼ | 0 0 | 900.00 | | | | |
| Full Name (Last, First, Middle Initial) C. Mark I Zusy | | | Date of Receipt | | | |
| Mailing Address 745 SEVENTH AVEN | UE | | 0 9 2 2 2 2 0 0 6 | | | |
| City | State | Zip Code | Transaction ID: A2006-1388678 | | | |
| NEW YORK | NY | 10019 | Amount of Each Receipt this Period | | | |
| FEC ID number of contributing federal political committee. | C | | 50.00 | | | |
| Name of Employer LEHMAN BROTHERS HOLDINGS | Occupation | n VICE PRESIDENT | | | | |
| INC. Receipt For: | _, . | Year-to-Date ▼ | - | | | |
| Primary General | 199119 | | 7 | | | |
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| SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 86 / 90 (check only one) 11a 11b 11c 12 13 14 15 16 17 17 |
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| Any information copied from such Reports and State or for commercial purposes, other than using the name | ments may not be sold or used by any person ne and address of any political committee to s | for the purpose of soliciting contributions solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Action Fund of Lehman Brothers Holdings | s Inc. | |
| Full Name (Last, First, Middle Initial) Wachovia Securities Mailing Address 401 South Tryon Street City | State Zip Code | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Charlotte FEC ID number of contributing federal political committee. Name of Employer | NC 28288 C C Coccupation | Amount of Each Receipt this Period 236.16 |
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| \rangle | NAME OF COMMIT Action Fund of Le | , , | Holdings In | C. | | | | | | | | | | | | | |
| ۱. | Full Name (Last, First Wachovia Securion Mailing Address | | on Street | | | | | | Date | | sburs | | 51910 nt | | 0 Ŏ 6 | Y | |
| | City Charlotte | | Sta N | ate C | Zip Code 28288 | | | | Amou | nt of | Each | Dis | burser | nent | | - | od |
| | Purpose of Disburse Bank Service Charge | | | | | | 00. | 1 | | | | | | 0 | 150.0 | 00 | |
| | Candidate Name | | | | | | ateg Typ | • | | | | | | | | | |
| | Office Sought: | House Senate President | | ent For: rimary Other (spe | 2006 General | | | | | | | | | | | | |

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SCHEDULE B (FEC Form 3X)

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| NAME OF COMMITTEE (In Full) | and address of any political co | OHIIII | | SONOR COIT | iibull | 0113 110 | iii Sucil | COIIII | iillee | | | |
| Action Fund of Lehman Brothers Holdings | Inc. | | | | | | | | | | | |
| Full Name (Last, First, Middle Initial) | | | | Tran | sactio | on ID: | B1487 | 16 | | | | |
| Barney Frank for Congress Committee | | | | | | sburse | | v • v | | V | | |
| Mailing Address 38 Ivy Street SE | | | | 0 ^M 9 | , | 1 | ^D 2 | 2 | 0 Ď 6 | | | |
| • | State Zip Code DC 20003 | | | Amo | unt of | Each | Disburse | ement | this P | eriod | | |
| Washington Purpose of Disbursement | 20003 | | | $+ \Gamma$ | | | | 2 | 0.00 | 0 | | |
| G-2006 U.S. House 04 MA | | Ō. | 11 | | _ | | | | | | | |
| Candidate Name Barney Frank | | Cate Ty | gory/ pe | | | | | | | | | |
| | ment For: 2006 | | | | | | | | | | | |
| Senate President | Primary X General Other (specify) ▼ | | | | | | | | | | | |
| State: MA District: 04 | · · · · · · · · · · · · · · · · · · · | | | | | | | | | | | |
| Full Name (Last, First, Middle Initial) | | | | | | | B1487 | 17 | | | | |
| Rangel for Congress | | | | Date | of Di | sburse | | v · v | · V | V | | |
| Mailing Address P.O. Box 5577 | | | | 0 9 | М / | 1 | ^D 2 | Ż | 0 Ď 6 | | | |
| , | State Zip Code NY 10027 | | | Amo | unt of | Each | Disburse | ement | this P | eriod | | |
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| Candidate Name Charles B Rangel | | | gory/ | | | | | | | | | |
| Office Sought: X House Disburse | ment For: 2006 | | | | | | | | | | | |
| Senate President | Primary X General | | | | | | | | | | | |
| State: NY District: 15 | Other (specify) ▼ | | | | | | | | | | | |
| Full Name (Last, First, Middle Initial) | | | | | | | B1487 | 19 | | | | |
| Castle Campaign Fund | | | | Date | of Di | sburse | | ΥΥ | Υ | Y | | |
| Mailing Address P.O. Box 133 | | | | 0 9 | | 1 | ^D 2 | 2 | 0 Ď 6 | | | |
| | State Zip Code DE 19899 | | | Amo | unt of | Each | Disburse | ement | this P | eriod | | |
| Purpose of Disbursement G-2006 U.S. House At Large DE | | 0- | 11 |] L. | | | | 2 | 000.0 | 0 | | |
| Candidate Name Michael Castle | - | | gory/ | | | | | | | | | |
| Office Sought: X House Disburse | ment For: 2006 Primary X General | | - | | | | | | | | | |
| President | Other (specify) | | | | | | | | | | | |
| State: DE District: | | | | | | | | | | | | |
| SUBTOTAL of Disbursements This Page (optional) . | | | | | | - | - | 6 | 0.00 | 0 | | |
| TOTAL This Period (last page this line number only) | | | • | | | | | | | | | |

Image# 26940416632

| S | CHEDULE B (FEC Form 3X) | Use seperate schedule(s) | | NUMBER: PAGE 89/90 |
|-----------------|--|---|--------------------|--|
| IT | EMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | (check only 21b 27 | y one) 22 X 23 24 25 26 28a 28b 28c 29 30b |
| | y Information copied from such Reports and States for commercial purposes, other than using the nan | | | |
| $\overline{\ }$ | NAME OF COMMITTEE (In Full) | | | |
| <u>/</u> | Action Fund of Lehman Brothers Holdings | s Inc. | | |
| | Full Name (Last, First, Middle Initial) | | | Transaction ID: B150546 |
| ٩. | Friends of Hillary | | | Date of Disbursement |
| | Mailing Address 1717 K Street Suite 309-A | | | 09 M / D25 / Y 2006 Y |
| | City | State Zip Code | | Amount of Each Disbursement this Period |
| | Washington | DC 20036 | | 1000.00 |
| | Purpose of Disbursement G-2006 U.S. Senate NY | | 011 | 1000.00 |
| | Candidate Name Hillary R Clinton | | Category/ Type | |
| | Office Sought: House Disburs X Senate President | ement For: 2006 Primary X General Other (specify) | | |
| | State: NY District: | | | |

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| TOTAL This Period (last page this line number only) | • | 7000.00 |

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Form/Schedule:**SA11A1**Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.